

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**08780001AA**

In Re Application Of: **Setsuji Tatsumi**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
<b>10/712, 056</b>	<b>11/14/2003</b>	<b>Ly T. Tran</b>	<b>30743</b>	<b>2853</b>	<b>9030</b>

Title: **SURFACE TREATMENT APPARATUS AND IMAGE RECORDING APPARATUS**

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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10/712, 056	11/14/2003	Ly T. Tran	30743	2853	9030

Title: **SURFACE TREATMENT APPARATUS AND IMAGE RECORDING APPARATUS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-2041 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
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- ☐ Payment by credit card. Form PTO-2038 is attached.

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Dated: **February 19, 2007**

  
Signature  
**Olga V. Merkoulouva**  
**Whitham, Curtis, Christofferson & Cook, P.C.**  
**11491 Sunset Hills Road, Suite 340**  
**Reston, VA 20190**  
**Phone: 703-787-9400**  
**FAX: 703-787-7557**

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**08780001AA**

In Re Application Of: **Setsuji Tatsumi**

Application No. <b>10/712,056</b>	Filing Date <b>11/14/2003</b>	Examiner <b>Ly T. Tran</b>	Customer No. <b>30743</b>	Group Art Unit <b>2853</b>	Confirmation No. <b>9030</b>
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Invention: **SURFACE TREATMENT APPARATUS AND IMAGE RECORDING APPARATUS**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

Dated: **February 19, 2007**

**Olga V. Merkoulouva**  
Reg. No. 48,757  
Whitham, Curtis, Christofferson & Cook, P.C.  
11491 Sunset Hills Road, Suite 340  
Reston, VA 20190  
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(Date)

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CC:

Docket 08780001AA  
Serial No.: 10/712,056

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Setsuji Tatsumi

Confirmation No.:9030

Serial No. 11/610,152

Group Art Unit: 2834

Filed: November 14, 2003

Examiner: L. Tran

For: SURFACE TREATMENT APPARATUS AND IMAGE RECORDING  
APPARATUS

Commissioner for Patents

PO Box 1450

Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

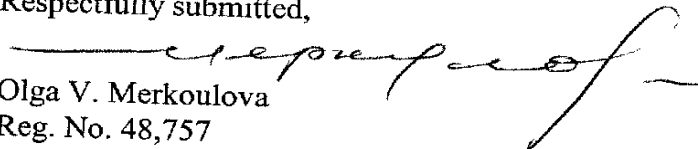
Sir:

Under the provisions of 37 C.F.R. §1.97 through §1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. §1.56, applicant respectfully brings the following documents, cited in the Office Action issued by the Japanese Patent Office on November 21, 2006, for the above-identified patent application and listed on the attached forms PTO-1449, to the attention of the Examiner in charge of the above-identified application.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be formally made of record in the application. Please charge any deficiencies in fees and credit any overpayment of fees to the Attorney's Deposit Account No. 50-2041 (Whitham, Curtis, Christofferson & Cook, P.C.).

Respectfully submitted,

  
Olga V. Merkoulou  
Reg. No. 48,757

Whitham, Curtis & Christofferson, P.C.  
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Customer No.: 30743

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional)

**08780001AA**

Application Number

**10/712,056**

Applicant(s)

**Setsuji Tatsumi**

Filing Date

**11/14/2003**

Group Art Unit

**2853**

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		<b>JP 03-248156</b>	<b>06.11.1991</b>	<b>Japan</b>	<b>G03D</b>	<b>02</b>	<input checked="" type="checkbox"/>	
		<b>JP 01-161345</b>	<b>26.06.1989</b>	<b>Japan</b>	<b>G03D</b>	<b>02</b>	<input checked="" type="checkbox"/>	

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

		An Office Action issued by the Japanese Patent Office on November 21, 2006 with English language translation, pages 1-4

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.